

Commissioner for Patents Washington, DC 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/779,703

NIXON & VANDERHYE P.C.

1100 North Glebe Road

Arlington, VA 22201

8th Floor

02/09/2001

Rudolf Lucas

2551-55

CONFIRMATION NO. 6732

FORMALITIES LETTER

OC000000008936648

Date Mailed: 10/10/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202



In re Patent Application of Atty Dkt. 2551-55	0.	500
C# M#		
LUCAS et al. Group Art Unit: Unassigned		
Serial No. 09/779,703 Examiner: Unassigned		
Filed: February 9, 200 Date: October 23, 2002 Title: TNF-DERIVED PEPTIDES FOR USE IN TREATING OFFICE		
Title: TNF-DERIVED PEPTIDES FOR USE IN TREATING OEDEMA		
Assistant Commissioner for Patents Washington, DC 20231		
Sir:		
RESPONSE/AMENDMENT/LETTER This is a response/amendment/letter in the above-identified application and includes an attachment which incorporated by reference and the signature below serves as the signature to the attachment in the absence signature thereon.	is hereb ce of an	y y other
Fees are attached as calculated below: Total effective claims after amendment 0 minus highest number previously paid for 20 (at least 20) = 0 x \$ 18.00		
Independent to the control of the co	\$	0.00
Independent claims after amendment 0 minus highest number previously paid for 3 (at least 3) = 0 x \$ 84.00	\$	0.00
If proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)	\$	0.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920.00/3 months)		
Terminal disclaimer enclosed, add \$ 110.00	\$	0.00
	\$	0.00
 ☐ First/second submission after Final Rejection pursuant to 37 CFR 1.129(a) (\$740.00) ☐ Please enter the previously unentered , filed ☐ Submission attached 	\$	0.00
Subtota	I \$	0.00
If "small entity," then enter half (1/2) of subtotal and subtract Applicant claims "small entity" status. Statement filed herewith	-\$	0.00
Rule 56 Information Disclosure Statement Filing Fee (\$180.00)	\$	0.00
Assignment Recording Fee (\$40.00)	\$	0.00
Other: Response; Notice to Comply (10/10/02); Copy of Preliminary Amendment (2/9/01); Copy of Letter (2/9/01); Copy of Post Card Receipt (2/9/01); Copy of Amendment (9/25/02); Copy of Notice to File Corrected Application Papers (7/25/02); Copy of Post Card Receipt (9/25/02)	Ψ	0.00
TOTAL FEE ENCLOSED	\$	0.00
The Commissioner is hereby authorized to charge any <u>deficiency</u> , or credit any overpayment, in the fee(s) fi asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this applic firm) to our Account No. 14-1140. A <u>duplicate</u> copy of this sheet is attached.		
1100 North Glebe Road, 8 th Floor NIXON & VANDERHYE P.C.		
Arlington, Virginia 22201-4714 By Atty: B. J. Sadoff, Reg. No. 36,663 Telephone: (703) 816-4000		
Facsimile: (703) 816-4100 BJS:plb Signature:		



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

LUCAS et al.

Atty. Ref.: 2551-55

Serial No. 09/779,703

Group: Unassigned

Filed: February 9, 2001

Examiner: Unassigned

For: TNF-DERIVED PEPTIDES FOR USE IN TREATING OEDEMA

* * * * * * * * * *

October 23, 2002

Assistant Commissioner for Patents Washington, DC 20231

Sir:

RESPONSE

Responsive to the Notice to Comply dated October 10, 2002 (copy attached), the Office is requested to appreciate that a Sequence Listing, as required by the Rules, was filed February 9, 2001 with the Preliminary Amendment requesting entry of the same. A copy of the Preliminary Amendment dated February 9, 2001, a copy of the Letter (i.e., Statement) filed February 9, 2001, indicating that the paper and computer readable copies of the Sequence Listing are the same and that no new matter was added, and a copy of the undersigned's Post Card receipt from the filing of February 9, 2001, are attached.

Moreover, a copy the filing of February 9, 2001, was re-submitted with the Amendment of September 25, 2002, in response to the Notice to File Corrected Application Papers mailed July 25, 2002. A copy of the undersigned's Amendment of September 25, 2002,

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the Notice of July 25, 2002, and the undersigned's Post Card receipt from the filing of September 25, 2002, are attached.

The Office is requested to contact the undersigned if anything further is required. The undersigned submits the Rules relating to the Sequence requirements have been complied with. Specific and particularized Notice of any deficiency in this regard is requested along with an opportunity to supply any further needed information.

Respectfully submitted,

NIXON & VANDERHYE P.C.

Reg. No. 36,663

BJS:plb 1100 North Glebe Road, 8th Floor

Arlington, VA 22201-4714 Telephone: (703) 816-4000